PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's of 15878 | or agent's file reference | FOR FURTHER ACTION | see Notifica (Form PCT below. | ation of Transmittal of International Search Report [/ISA/220] as well as, where applicable, item 5 | | | | | |
|--|--|--|-------------------------------------|--|--|--|--|--|--|
| International application Ño. PCT/US03/35231 | | International filing date (day/month/year) 03 November 2003 (03.11.2003) | | (Earliest) Priority Date (day/month/year) 01 November 2002 (01.11.2002) | | | | | |
| Applicant TODD C SACKTOR ET AL. | | | | | | | | | |
| This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau. | | | | | | | | | |
| This international search report consists of a total of sheets. It is also accompanied by a copy of each prior art document cited in this report. | | | | | | | | | |
| a. V. b. V. s | language in which it was filed, unless otherwise indicated under this item. the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)). b. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international search was carried out on the basis of the sequence listing: contained in the international application in written form. filed together with the international application in computer readable form. furnished subsequently to this Authority in written form. furnished subsequently to this Authority in computer readable form. the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished. Certain claims were found unsearchable (See Box I). | | | | | | | | |
| | With regard to the title, the text is approved as submitted by the applicant. the text has been established by this Authority to read as follows: Please See Continuation Sheet | | | | | | | | |
| 5. With re | gard to the abstract, the text is approved as submitthe text has been established within one month from the during of the drawings to be publias suggested by the applicant because the applicant failed to | , according to Rule 38.2(b), by this a ate of mailing of this international se lished with the abstract is Figure No | arch report | s it appears in Box III. The applicant may, t, submit comments to this Authority. None of the figures | | | | | |
| | because this figure better cha | racterizes the invention. | | | | | | | |

Form PCT/ISA/210 (first sheet) (July 1998)

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US03/352341

| Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet) |
|---|
| This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: |
| Claim Nos.: because they relate to subject matter not required to be searched by this Authority, namely: |
| 2. Claim Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically: |
| 3. Claim Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). |
| Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet) |
| This International Searching Authority found multiple inventions in this international application, as follows: Please See Continuation Sheet |
| 1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims. |
| As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee. |
| As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.: |
| 4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1-7 |
| Remark on Protest |
| No protest accompanied the payment of additional search fees. |
| |

Form PCT/ISA/210 (continuation of first sheet(1)) (July 1998)

INTERNATIONAL SEARCH REPORT

International application No-PCT/US03/35231+

| A. CLA | SSIFICATION OF SUBJECT MATTER | | | | | |
|---|--|----------------|---|--------------------------------|--|--|
| IPC(7) : C12Q 1/00, 1/68, 1/70; G01N 33/53, 33/567, 33/569 | | | | | | |
| US CL : 435/4, 6, 7.1, 7.7, 7.71, 7.72 | | | | | | |
| According to International Patent Classification (IPC) or to both national classification and IPC | | | | | | |
| B. FIEL | LDS SEARCHED | | | | | |
| Minimum do | ocumentation searched (classification system followed | l by classific | cation symbols) | | | |
| U.S.: 435/4, 6, 7.1, 7.7, 7.71, 7.72 | | | | | | |
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| Documentati | on searched other than minimum documentation to the | 4 - 4 - 4 | | | | |
| | on searched other than minimum documentation to the | e extent the | it such documents are included i | in the fields searched | | |
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| | | · | | | | |
| Electronic da | ata base consulted during the international search (nar | me of data b | oase and, where practicable, sear | rch terms used) | | |
| Please See C | ontinuation Sheet | | , | | | |
| | | | | | | |
| C. DOC | UMENTS CONSIDERED TO BE RELEVANT | | | | | |
| Category * | Citation of document, with indication, where | appropriate | of the relevant naccages | Polomet to alsius No | | |
| A | MOSCAT, J. ET AL. The Atypical Protein Kinase | Cs EMBO | Reports November 2000 Vol | Relevant to claim No. | | |
| 1 | 5 No. 5, pages 399-403, entire document. | | - | 1-7 | | |
| A | SHAO, C.Y. ET AL. Atypical Protein Kinase C (PI | C) Colocal | lizes with Tau- and Alpha- | 1-7 | | |
| | Synuclein-Related Inclusions in Neurodegenerative | Disorders. | Society for Neuroscience | • , | | |
| | Abstracts 02-07 November 2002, Abstract 592.9, en | ntire docum | ent. | | | |
| A | SHAO C V ET AL Association SDEC DOCUMENT | | | • | | |
| ^ | SHAO C.Y. ET AL. Association of PKC, P62 And Neurodegenerative Disease. Society for Neuroscience | Ubiquitin w | rith Inclusions of | 1-7 | | |
| ļ | Abstract 203.22, entire document. | æ Abstracts | 08-12 November 2003, | | | |
| | The state of the s | | | | | |
| A | US 2003/0068310 A1 (YIN, J.C.P. ET AL.) 10 Apr | il 2003 (10 | .04.2003), entire document | 1-7 | | |
| | | | | 1-7 | | |
| A | HOPF, F.W. ET AL. Atypical Protein Kinase C Is A | A Novel Me | diator of Dopamine-Enhanced | 1-7 | | |
| | Firing in Nucleus Accumbens Neurons. The Journa | l of Neurose | cience 26 January 2005, Vol. | | | |
| | 25, No. 4, pages 985-989, entire document. | | | | | |
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| Further | documents are listed in the continuation of Box C. | | See patent family annex. | | | |
| | pecial categories of cited documents: | "T" | later document published after the interni | | | |
| | defining the general state of the art which is not considered to be of | • | and not in conflict with the application by | at cited to understand the | | |
| particular | relevance | | principle or theory underlying the inventi | ion | | |
| "E" earlier app | lication or patent published on or after the international filing date | "X" | document of particular relevance, the claim | imed invention cannot be | | |
| | | | considered novel or cannot be considered when the document is taken alone | to involve an inventive step | | |
| "L" document establish ti | which may throw doubts on priority claim(s) or which is cited to he publication date of another citation or other special reason (as | "Y" | | | | |
| specified) | Parameter and a manager of autor of other special residu (88 | - Y | document of particular relevance; the clai considered to involve an inventive step w | then the document is combined | | |
| "O" document referring to an oral disclosure, use, exhibition or other means | | | with one or more other such documents, a to a person skilled in the art | such combination being obvious | | |
| "P" clocument published prior to the international filing date but later than the | | | | | | |
| priority dat | te claimed | "&" | document member of the same patent fan | nily | | |
| Date of the actual completion of the international search | | | Date of mailing of the international search report | | | |
| assess design of the international scalen | | | alling of the international search | h report | | |
| 15 March 2005 (15.03.2005) | | | 1.2 APR 2005 | | | |
| Name and mailing address of the ISA/US Mail Stop PCT, Ann: ISA/US | | | d officer |) // | | |
| Commissioner of Patents | | | her J. Michols, DK.D. | Stellar | | |
| P.O. Box 1450 Alexandria, Virginia 22313-1450 | | ļ - | | Xols | | |
| Facsimile No. (703) 305-3230 | | | e No. 703-308-0196 | 0.0 | | |

Form PCT/ISA/210 (second sheet) (July 1998)

INTERNATIONAL SEARCH REPORT

PCT/US03/35231

Continuation of Item 4 of the first sheet:

Misspelling

APKC ISOFORMS IN NERVOUS SYSTEM DISORDERS AND CANCER

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group 1, claim(s) 1-7 drawn to a method of diagnosing a nervous system disorder.

Group 2, claim(s) 8-11, drawn to a method for identifying a compound useful for modulating the activity of an aPKC.

Group 3, claim(s) 12-21 and 24, drawn to a method for treating a nervous system disorder.

Group 4, claim(s) 22-23, drawn to an antibody.

Group 5, claim(s) 25-30, drawn to a method for constructing a transgenic animal.

Group 6, claim(s) 31-34, drawn to a method for screening DNA for a mutation or polymorphism.

Group 7, claim(s) 35-37, drawn to a method for diagnosing cancer.

The inventions listed as Groups 1-7 do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

According to PCT Rule 13.2, unity of invention exists only when there is a shared same or corresponding special technical feature among the claimed inventions. Each group has a different special technical feature not shared by the remaining groups.

Group 1 has the special technical feature of method of diagnosing a nervous system disorder, which is not shared by any of the remaining groups.

Group 2 has the special technical feature of identifying a compound useful for modulating the activity of an aPKC, which is not shared by any of the remaining groups.

Group 3 has the special technical feature of method for treating a nervous system disorder, which is not shared by any of the remaining groups.

Group 4 has the special technical feature of antibody, which is not shared by any of the remaining groups.

Group 5 has the special technical feature of a transgenic animal, which is not shared by any of the remaining groups.

Group 6 has the special technical feature of method for screening DNA for a mutation or polymorphism, which is not shared by any of the remaining groups.

Group 7 has the special technical feature of method for diagnosing cancer, which is not shared by any of the remaining groups.

| STEEL SEARCH REPORT | | | | | | | |
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| Continuation of B. FIELDS SEARCHED Item 3: WEST (USPT, PGPUBS, US OCR, JPO, EPO, DERWENT); NCBI (PUBMED); STN (BIOSCIENCE) a PKC, aPKC gamma, immunoassay, immunocytochemistry, array, hybridizable probes, Alzheimers, Picks, PSP, CBD, PD, MSA | | | | | | | |
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